



Docket No.: 21736-00009-US

DAC \$  
(S) #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of  
Lawrence M. Ausubel

Confirmation No.: 3673

Application No.: 09/898,483

Art Unit: N/A

Filed July 5, 2001

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR AN EFFICIENT  
DYNAMIC MULTI-UNIT AUCTION

**STATEMENT UNDER 37 CFR § 1.28(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
OCT 24 2003  
OFFICE OF PETITIONS

Dear Sir:

As a matter of caution, the Commissioner may consider this a statement under 37 CFR § 1.28 (c)(1) and (2). In this application there may be a deficiency in the filing fees paid on August 23, 2001, at the small entity rate. Fees which may have been paid in error:

Basic filing fee	\$355.00	Present Fee	\$770.00	Deficiency	\$415.00
Late Filing of Declaration	\$ 65.00	Present Fee	\$130.00	Deficiency	\$ 65.00
Fee for Additional Claims	\$216.00	Present Fee	\$432.00	Deficiency	\$216.00
Fee for Independent Claims	\$120.00	Present Fee	\$258.00	Deficiency	\$238.00
				<b>Total Deficiency</b>	<b>\$934.00</b>

The undersigned hereby authorizes the Commissioner to charge the total deficiency of \$934.00 to deposit account 22-0185.

Dated: October 22, 2003

Respectfully submitted,

By

*Stanley B. Green*

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10/24/2003 AWONDAF1 00000133 220185 09898483

01 FC:1001	14.00 DA	756.00 OP
02 FC:1051	130.00 DA	
03 FC:1201	258.00 DA	
04 FC:1202	432.00 DA	

# Transaction List

Search by

☐ Accounting Date:  (MMDDYY)

Operator ID:



☒ Name/Number:

☐ Attny Docket No:

☐ Deposit Account No:

Starts:

Ends:

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
08/24/2001	SDENBOB1	83	SALE	205	A	65.00	09898483	
08/24/2001	SDENBOB1	82	SALE	203	A	216.00	09898483	
08/24/2001	SDENBOB1	81	SALE	202	A	120.00	09898483	
08/24/2001	SDENBOB1	80	SALE	201	A	355.00	09898483	
08/02/2001	ABROWN2	42	SALE	567	A	30.00	09898483	



Adjustment Detail

Authorization Detail



Adjustment date: 10/24/2003 AWONDAF1  
 08/24/2001 SDENBOB1 00000042 09898483  
 01 FC:201 -355.00 OP  
 02 FC:202 -120.00 OP  
 03 FC:203 -216.00 OP  
 04 FC:205 -65.00 OP